



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST-NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/345,148	06/30/99	SEGAL	A 3378/80490

KATHLEEN M WILLIAM ESQ  
BANNER & WITCOFF LTD  
28 STATE STREET  
BOSTON MA 02109

0212/0804

NOT ASSIGNED

DATE MAILED: 1651

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

08/04/99

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 1816.00

- ☒ 1. The statutory basic filing fee is:  
☒ missing.  
☐ insufficient.  
Applicant must submit \$ 380.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☒ 2. The following additional claims fees are due:  
\$ 864.00 for 96 total claims over 20.  
\$ 312.00 for 8 independent claims over 3.  
\$ 130.00 for multiple dependent claim surcharge.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:  
☐ is missing or unsigned.  
☐ does not cover the newly submitted items.  
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application was filed in a language other than English.  
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

08/16/1999 TL0011 00000097 09345148



Section \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNITED STATES RECEIVING OFFICE (RO/US)

Application of: Segal

Serial No.: 09/345,148

Filed: June 30, 1999

Entitled: CD40 Ligand Enhanced Cells and Methods  
of Modulating an Immune Response to an  
Antigen

Atty. Docket No.: 3378/80490

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

**TRANSMITTAL LETTER**

In regard to the above identified application transmitted herewith are the following:

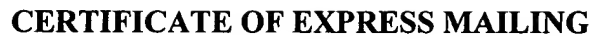
- ☒ Copy of Notice To File Missing Parts
- ☒ Check for \$1816.00
- ☒ Return postcard.

☒ The Assistant Commissioner is hereby authorized to charge payment of any additional fees required under 37 CFR § 1.16, or to credit any overpayment of same, to Deposit Account No. 19-0733.

Respectfully submitted,

8-12-99  
Date

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Kathleen M. Williams  
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*Andrew M. Erickson*  
Signature of Person Mailing Paper